The receptor tyrosine kinase ErbB3 maintains the balance between luminal and basal breast epithelium

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ErbB3 harbors weak kinase activity, but strongly activates downstream phosphatidylinositol 3-kinase/Akt signaling through heterodimerization with and activation by other ErbB receptor tyrosine kinases. We report here that ErbB3 loss in the luminal mammary epithelium of mice impaired Akt and MAPK signaling and reduced luminal cell proliferation and survival. ErbB3 mRNA expression levels were highest in luminal mammary populations and lowest in basal cell/stem cell populations. ErbB3 loss in mammary epithelial cells shifted gene expression patterns toward a mammary basal cell/stem cell signature. ErbB3 depletion-induced gene expression changes were rescued upon activation of Akt and MAPK signaling. Interestingly, proliferation and expansion of the mammary basal epithelium (BE) occurred upon ErbB3 targeting in the luminal epithelium, but not upon its targeting in the BE. Multiple cytokines, including interleukin 6, were induced upon ErbB3 depletion in luminal epithelial cells, which increased growth of BE cells. Taken together, these results suggest that ErbB3 regulates the balance of differentiated breast epithelial cell types by regulating their growth and survival through autocrine- and paracrine-signaling mechanisms.

mammary epithelial differentiation | ErbB3

An aberrant regulation of the ErbB family of receptor tyrosine kinases (RTKs) and their ligands is common in human cancers (1–4). This family consists of four members: HER1/ErbB1/EGFR (epidermal growth factor receptor), HER2/ErbB2/Neu, HER3/ErbB3, and HER4/ErbB4. Except for ErbB3, which has weak kinase activity, the ErbB RTKs exhibit dimerization-induced phosphorylation and catalytic activation. In response to ligand binding, ErbB1s form homodimers and heterodimers with other ErbB coreceptors. ErbB3 relies on transphosphorylation by heterodimeric partners to induce signal transduction (5–7).

ErbB3 RTKs are required for breast development, although each receptor bears a unique spatiotemporal expression pattern. ErbB2 loss in the mammary epithelium delays ductal elongation during puberty and disorganizes cells within terminal end buds (TEBs) to form cap cells (Fig. 1A). ErbB3 expression in the LE to cause genomic recombination at floxed ErbB3 alleles in ErbB3−/− male mice compared with heterozygous ErbB3+/- male mice (16). Ductal lengthening during puberty was delayed in 8-wk-old ErbB3−/− mice compared with wild-type (WT) mice (16) and were used as controls. Decreased thickness of the TEB body cell layer was evident in ErbB3−/− samples.

Results

ErbB3 Directs Growth, Survival, and Organization of the Developing Mammary Epithelium.

The mammary ductal epithelium begins lengthening distally through the mammary fat pad during puberty. Proliferation of the mammary epithelium and invasion through the fat pad occur primarily within club-shaped multicellular structures. The BE is the terminal bud layer that gives rise to the BE, and the body layer, which gives rise to the LE. ErbB3 protein expression was higher in TEB body cells than in cap cells (Fig. 1B). ErbB3 was substantially reduced in TEBs of ErbB3−/− mice, which use MMTV-Cre transgene expression in the LE to cause genomic recombination at floxed ErbB3 alleles in ErbB3−/− female mice (16). Ductal lengthening during puberty was delayed in 8-wk-old ErbB3−/− virgin female mice compared with heterozygous ErbB3+/- × MMTV-Cre controls (Fig. 1C), although ducts permeated the full length of the mammary fat pads by 16 wk of age in ErbB3−/− female mice (Fig. S1); ErbB3−/− heterozygotes showed no change in mammary phenotype compared with wild-type (WT) mice (16).

The authors declare no conflict of interest.


ErbB3-Driven breast cancers have a poor prognosis and are usually of hormone receptor negative status.

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The mammary ductal epithelium delays ductal elongation during puberty (8). Although the mechanism(s) by which ErbB2 and ErbB3 regulate growth of the ductal epithelium is currently unknown, such knowledge will impact our understanding of the earliest events contributing to the formation of ErbB2/HER2-amplified breast cancers, which account for 20–30% of all breast cancers. ErbB3 and ErbB2 heterodimers are the most potent oncogenic ErbB-signaling pair due in part to strong ErbB3-induced phosphatidylinositol 3-kinase (PI3K) activation in response to ErbB3 tyrosine phosphorylation at six PI3K interaction motifs (14, 15).

To understand the role of ErbB3 in mammary gland development, we knocked out ErbB3 in mammary epithelial cells (MECs) and tumors using a mouse mammary tumor virus (MMTV)-driven Cre/lox system (ErbB3fllox/flox) (16), which expresses Cre recombinase primarily in the mammary luminal epithelium (LE). We discovered that ErbB3 is required in the LE, but not in the BE, to support cell proliferation and survival. Loss of ErbB3 decreased MEK/MAPK and PI3K/Akt signaling and impaired differentiation of MECs along the luminal lineage. Definitive LE markers were decreased in the absence of ErbB3, and rescued upon reactivation of Akt and MEK. In contrast, the BE exhibited increased cell proliferation when ErbB3 was lost from the LE, suggesting communication between these two epithelial compartments. ErbB3-depleted LE cells produced mitogenic cytokines, which increased BE growth. These data demonstrate that ErbB3 maintains the LE at the luminal progenitor stage and regulates the balance of differentiated epithelial cell types within the mammary gland through both autocrine and paracrine mechanisms.

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(Fig. 1B) due in part to decreased cellular proliferation as measured by Ki67 immunohistochemistry (IHC). In heterozygous TEBs, E-cadherin IHC-defined body cells organized in a multilayered club-shaped pattern. TEBs in ErbB3<sup>MMTV-KO</sup> mice displayed thinning E-cadherin<sup>+</sup> body cell layers with undulating patterns of disorganization. Although smooth muscle actin (SMA) identified a single layer of cap cells in heterozygous controls, ErbB3<sup>MMTV-KO</sup> TEBs harbored multiple layers of SMA<sup>+</sup> cap cells. These results suggest ErbB3 loss in TEBs disrupts structural organization and the body/cap cell ratio, which may contribute to reduced ductal growth (Fig. 1E).

**ErbB3 Phosphorylation and Signaling Drive Cell Survival in the Mature and Pubertal Luminal Mammary Epithelium.** Loss of ErbB3 in the mammary epithelium of 6-wk-old virgin female ErbB3<sup>MMTV-KO</sup> mice resulted in decreased P-Akt in the mammary gland (Fig. 1C). Because Akt is phosphorylated in response to PI3K activation, these results suggest that ErbB3 is required for PI3K activation and Akt signaling in the LE. Similarly, acute dosycycline (DOX)-induced ErbB3 depletion in the adult LE achieved using double-transgenic mice expressing DOX-inducible Cre (<sup>MMTV-tTA × TetOp-Cre</sup>) (17, 18) crossed with ErbB3<sup>FL/FL</sup> mice to produce ErbB3<sup>MMTV-KO</sup> and ErbB3<sup>TEB</sup>-dimeric activation of ErbB3. Because Akt regulates cell survival, we examined apoptosis in mammary glands using TUNEL analysis. Constitutive or inducible loss of ErbB3 increased LE cell death in pubertal or adult mice, respectively (Fig. 1F and G). Also, inhibition of ErbB3 phosphorylation using lapatinib increased the fraction of TUNEL<sup>+</sup> cells (Fig. 1H). Fig. 1 demonstrates that ErbB3 is required within the quiescent mature LE to maintain cell survival and that ErbB3 phosphorylation by ErbB family members drives PI3K and MAPK signaling and cell survival in the untransformed mammary epithelium.

**Mammary Gland ErbB3 Expression Is Highest in Luminal Epithelium.** Antibodies against cell-surface markers of distinct mammary epithelial populations are used to sort freshly digested mammary glands into epithelial subpopulations by flow cytometry. Using this approach on mouse and human tissues, gene expression analysis of each mammary epithelial subpopulation was performed (19, 20). We analyzed available datasets and found that low levels of ERBB3 mRNA were present in the BE/mammary stem cell (BE/MaSC) population, whereas robust ERBB3 expression was detected in the mature luminal population (“ML” in Fig. 2A). Committed luminal progenitors also expressed high

![Fig. 1.](image-url)
levels of ERBB3, consistent with the notion that ERBB3 expression is induced in the mammary LE population prior to commitment to the luminal lineage. In addition, mammary ERBB3 expression was higher in mid-to-late puberty (6–7 wk) in mice, when specification and maintenance of the LE is maximized (Fig. 2B). Given that luminal breast cancers are thought to arise from transformed luminal epithelial cells and that ErbB3 expression is highest in untransformed LE cells, we examined ERBB3 mRNA expression in a panel of human breast cancers. Interestingly, ERBB3 expression positively correlated with more differentiated breast cancers (r² = 0.72, P < 0.0001) defined by the previously published luminal differentiation genomic model (21) (Fig. 2C). These expression data suggest that ErbB3 correlates with luminal differentiation of the mammary epithelium.

ErbB3 Is Required to Maintain Expression of an LE Signature. We examined gene expression changes occurring in response to ErbB3 depletion in primary mammary epithelial organoids grown in 3D Matrigel, allowing us to assess molecular changes occurring in the mammary epithelium without potentially confounding stromal gene expression changes (22, 23). DOX-induced loss of ErbB3 decreased Akt phosphorylation in ErbB3DOX-KO primary MECs (PMECs) in monolayer culture (Fig. S2A). ErbB3DOX-KO organoids recapitulated the phenotypic consequences of ErbB3 loss seen in vivo, including formation of smaller acinar structures with less complexity, increased cell death, and decreased proliferation (Fig. S2 B–D). Gene expression analysis of organoids treated for 10 d with or without DOX ex vivo identified 403 genes with altered expression (equal to or more than twofold, false discovery rate-adjusted P ≤ 0.05) in response to ErbB3 ablation. Gene Ontology analysis implicated the products of many such genes in cell cycle progression, including up-regulation of cell cycle inhibitors (e.g., DDI3, GADD45a, CDKN1B) and down-regulation of cell cycle activators (e.g., CCNB1, PLK1, CCNE1) in response to ErbB3 ablation. Many such genes are included in a “proliferation cluster,” a core set of genes identified by cDNA profiling whose expression correlated with rapid cell proliferation in large breast cancer datasets (24). Western analysis of ErbB3DOX-KO MECs confirmed up-regulation of the cell cycle inhibitor p27 (CDKN1B) and down-regulation of cyclin B1 (CCNB1) (Fig. 2D).
Genes associated with luminal differentiation were also down-regulated in ErbB3-deficient mammary glands, including the milk protein β-casein, which was also down-regulated at the protein level (Fig. 2D). Decreased gene expression of E74-like factor 5 (ELF5), a transcription factor required for growth and differentiation of the luminal alveolar population (25–27), and the RTK gene KIT were also observed. Elf5 and c-KIT have emerged as definitive markers of the luminal progenitor population (19). Elf5 and c-KIT down-regulation was confirmed by Western blot (Fig. 2D).

Next, we used the previously described gene expression signatures for distinct cell types within the hierarchical model of mammary epithelial differentiation (Fig. 2 A and B) to query expression data derived from organoid cultures expressing or lacking ErbB3. Untreated organoids retaining ErbB3 displayed expression patterns that correlated positively with expression signatures from mature luminal cells and luminal progenitors (Fig. 2E) and negatively correlated with the BE/MaSC signature. However, DOX-induced loss of ErbB3 in organoids shifted gene expression patterns, resulting in a negative correlation with luminal signatures, but a positive correlation with the BE/MaSC signature.

**ErbB3-PI3K and -MAPK Signaling Regulate Expression of Luminal Markers.** We investigated the signaling pathways downstream of ErbB3 that regulate expression of luminal molecular markers and proliferation cluster genes. ErbB3fl/fl PMECs were infected ex vivo with adenoviral Cre (Ad.Cre) or lacZ control (Ad.LacZ) in combination with adenoviral constitutively active Akt (myrAkt), dominant-negative Akt (dnAkt), active MEK1 (caMEK1), or dominant-negative MEK1 (dnMEK1). Western analysis demonstrated that Cre-mediated loss of ErbB3 in Ad.Cre-infected PMECs decreased P-Akt and P-MAPK (Fig. 2F). Expression of myrAkt1 and caMEK1 restored P-Akt and P-MAPK levels, respectively. Conversely, P-Akt and P-MAPK were decreased upon expression of dnAkt1 and dnMEK1, respectively, even in the presence of ErbB3.

Expansion of the Basal Epithelium in Response to ErbB3 Depletion. Because ErbB3 loss expanded the SMA+ cap cell layer, which gives rise to the mature BE; we used cytokeratin 5 (CK5) staining to detect BE cells in ErbB3fl/fl mammary glands. In 12-week-old virgin female mice, the CK5+ BE was a single cell layer in heterozygous controls, but was expanded to multiple cell layers in age-matched ErbB3fl/fl samples (Fig. 3A). To test if loss of ErbB3 in the BE could also directly expand the BE population, we crossed ErbB3fl/fl mice to transgenic mice expressing Cre recombinase via the basal cytokeratin 14 (CK14) promoter (ErbB3fl/flCK14+ mice). ErbB3 loss in CK14-expressing

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**Fig. 3.** Expansion of the basal epithelium in untransformed mammary glands and mammary tumors lacking ErbB3. (A–C) Representative images of CK5 IHC in 12- to 20-week-old virgin females. (A) ErbB3fl/flXMMTV-Cre and ErbB3fl/flXMMTV-KO. (B) ErbB3fl/flXCK14-Cre and ErbB3fl/flXMMTV-KO. (C) ErbB3fl/flXMMTV-KO X MMTV-PyVmT and ErbB3fl/flXMMTV-Cre X MMTV-PyVmT, n = 7/group. Arrows in (C) indicate keratinizing squamous metaplasia. (D) Representative image of squamous metaplasia observed in ErbB3fl/flXMMTV-KO mammary glands, but not in ErbB3fl/flXMMTV-Cre glands. (E and F) Representative images of PCNA IHC in ErbB3fl/flXMMTV-KO and ErbB3fl/flXMMTV-Cre, ErbB3fl/flXCK14+XMMTV-KO, and ErbB3fl/flXCK14-Cre mammary glands. Basal epithelium (BE) is outlined. Quantification is provided in Fig. S3D.
basal cells did not disrupt mammary ductal elongation (Fig. S3A) and did not alter TEB cellular organization in ErbB3<sup>CK14-KO</sup> mice (Fig. S3B). Ki67 IHC did not reveal changes in cell proliferation due to BE knockout of ErbB3. Importantly, the SMA<sup>a</sup> cap cell layer in developing TEBs appeared normal, and the CK<sup>+</sup> basal cell population was unaltered in ErbB3<sup>CK14-KO</sup> mice compared with heterozygous controls.

We next examined CK5 expression in ErbB3-deficient MMTV-PyVmT<sup>T</sup> tumors (28). The CK5<sup>+</sup> population exhibited profound expansion in ErbB3<sup>MMTV-KO</sup> × PyVmT<sup>T</sup> tumors compared with heterozygous controls (Fig. 3C). Keratinizing squamous metaplasia was evident in 7/20 ErbB3-deficient MMTV-PyVmT<sup>T</sup> tumors, but was not identified in ErbB3<sup>MMTV-KO</sup> × MMTV-PyVmT<sup>T</sup> tumors (Fig. 3C). Similarly, keratinizing squamous transdifferentiation of the mammary epithelium was seen in 3/12 ErbB3<sup>CK14-KO</sup> mice (Fig. 3D), but was not observed in ErbB3<sup>CK14-KO</sup> samples (0/12). Therefore, loss of ErbB3 in the LE alters the balance of luminal and basal cells in both normal and transformed mammary epithelium.

It is possible that ErbB3 loss in LE cells indirectly promotes BE growth. In support of this idea, increased BE proliferation was observed in ErbB3<sup>MMTV-KO</sup> mammary glands compared with heterozygous controls [assessed using proliferating cell nuclear antigen (PCNA) IHC] (Fig. 3E), but not in ErbB3<sup>CK14-KO</sup> (Fig. 3F). Fewer PCNA<sup>a</sup> LE cells were seen in ErbB3<sup>MMTV-KO</sup> mammary glands compared with heterozygous controls and with ErbB3<sup>CK14-KO</sup> samples, consistent with the decreased body cell proliferation seen in ErbB3-deficient TEBs (Fig. 1B).

**IL-6 Secreted by ErbB3-Deficient Luminal Cells Increases Myoepithelial Cell Growth.** We next tested the hypothesis that secreted factors from luminal cells in response to ErbB3 loss could drive expansion of the BE. Using a quantitative PCR array platform, we identified profound up-regulation of genes encoding secreted factors in ErbB3-siRNA-transfected T47D-harbouring ERBB3 and from DOX-treated ErbB3<sup>DOX-KO</sup> PMECs was analyzed by cytokine array (Fig. 4A and Fig. S4). ErbB3 loss induced secretion of IL-6, VEGF, and other highlighted cytokines. Inhibition of ErbB3 phosphorylation in ErbB3<sup>DOX-KO</sup> PMECs using the ErbB1/2 inhibitor lapatinib increased secretion of IL-6, VEGF-A, and RANTES/CCL5 (Fig. 4C). Inhibition of PI3K using the pan-PI3K inhibitor BKM120 similarly increased secretion of IL-6 and VEGF-A, but not of RANTES. The MEK1 inhibitor AZD6244 increased RANTES secretion from ErbB3<sup>DOX-KO</sup> MECS, but did not significantly alter expression of IL-6 or VEGF-A. Therefore, interruption of ErbB3-PI3K and ErbB3-MAPK signaling in LE cells increases the secretion of cytokines in distinct ways.

Recombinant human IL-6 (10 ng/mL) in serum-free medium increased growth of primary human mammary basal epithelial cells (hMmBEC) 5.2-fold compared with untreated cells (Fig. 4D), an effect that was impaired by a neutralizing IL-6 antibody (10 μg/mL). EGF was used as a positive control and similarly enhanced growth of BE cells, but was not inhibited by IL-6 antibody. Conditioned media from ErbB3-deficient LE cells infected with Ad.Cre increased growth of hMmBECs to a greater extent than did media from ErbB3-expressing controls infected with Ad.GFP (Fig. 4E). Addition of IL-6 antibody to cultured media from ErbB3-deficient tumor cells abrogated its ability to increase BE cell growth, demonstrating that IL-6 is secreted by ErbB3-deficient basal cells increasing growth of neighboring BE cells.

**Discussion**

The data presented here suggest (i) that ErbB3 signaling specifies and/or maintains the luminal phenotype of breast epithelium and (ii) that loss of ErbB3 from the LE drives expansion of the BE subpopulation. These conclusions are supported by the decreased presence of body cells within ErbB3-deficient TEBs (Fig. 1B), increased LE cell death upon ErbB3 loss or impaired ErbB3 phosphorylation (Fig. 1F), and an ErbB3 loss-induced shift in genome-wide expression patterns away from previously defined luminal signatures (Fig. 2E). These observations are consistent with the fact that ErbB3 expression is highest in mature luminal and luminal progenitor cells and lowest in the basal cell subpopulations of the breast (Fig. 2A). In addition, the luminal progenitor population markers ELF5 and KIT (19, 20) are decreased in response to loss of ErbB3, suggesting that hierarchical differentiation of the mammary epithelium along the luminal lineage requires ErbB3 for luminal specification and/or maintenance before expansion of committed luminal progenitors.

ErbB3 ablation from the mammary LE increased cell death while decreasing cell growth. This is in contrast to a report suggesting that mammary epithelial ErbB3 loss decreased cell survival but did not alter cell growth (8). The reasons underlying this discrepancy are currently unclear, although numerous differences in the models used may contribute (8). For example, differing genetic backgrounds used in the two studies may be a factor. Also, results presented here describe development of intact mammary glands in the context of a competent immune system, compared with the previous report, which used orthotopically transplanted embryonic mammary buds in immunocompromised mice (8). Finally, ErbB3 loss in this study was directed to specific mammary epithelial compartments: the LE (via MMTV-Cre) or the BE (via CK14-Cre). In contrast, the previously published model (8) lacked ErbB3 in all mammary...
epithelial populations. We have shown here that ErbB3 impacts distinct mammary epithelial populations in profoundly different ways, potentially contributing to this phenotypic discrepancy.

MMTV-PyVmt tumors express high levels of the luminal cytokeratin 8 and 18 and low levels of CK5, a cytokeratin associated with basal-like breast cancers, consistent with expression analyses clustering the MMTV-PyVmt tumor model with the luminal subtype of human breast cancers (29). Although loss of ErbB3 in the mammary epithelium decreases the rate of tumor formation in MMTV-PyVmt mice (28), ErbB3-deficient tumors eventually formed, exhibiting an increased CK5+ tumor cell population (Fig. 3C). Because BE and LE cells arise from common stem cells, it is possible that loss of ErbB3 prevents differentiation along the luminal lineage, forcing cells to differentiate into the basal lineage as a default. Our results do not disprove this possibility, but strongly support an alternative scenario in which ErbB3 loss from the LE causes cytokine secretion, which causes growth of neighboring basal cells (Fig. 4).

Interestingly, ErbB3 loss increased CK5+ cells in both untransformed mammary epithelia and mammary tumors (Fig. 3A), suggesting that ErbB3 directs cell fate decisions in cancers. This could have important implications regarding molecular classifications of breast cancers. Advances in molecular analysis of primary tumors make clear that different subtypes of human breast cancer exist (30). Increasing evidence suggests that the molecular subtype of a given breast cancer may be a reflection of the cell type from which that cancer originates (20, 31).

Therefore, it is critical to understand the signaling pathways that define the epithelial ontogeny of the mammary gland and how these pathways may be used within cancers that arise from each cell type. Our results demonstrate that ErbB3 is required within the luminal lineages of the breast. Further study of how ErbB3 and other differentiation signals may influence cell fate decisions within preneoplastic mammary glands will support our understanding of how tumors adopt specific molecular and clinical phenotypes, information that may be used to treat or prevent breast cancer.

Materials and Methods

All mouse experiments were approved by the Vanderbilt Institutional Animal Care and Use Committee. All models used, including genetically engineered mouse models and cell lines, are described in SI Materials and Methods. Detailed methods for Western analysis, RT-PCR, quantification of cell growth, and histological analyses can be found in SI Materials and Methods. Detailed materials and methods can be found in SI Materials and Methods. Additionally, Figs. S1–S4, associated legends, and references can also be found in Supporting Information.

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